

# Package ‘ADPclust’

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**Type** Package

**Title** Fast Clustering Using Adaptive Density Peak Detection

**Version** 0.7

**Description** An implementation of ADPclust clustering procedures (Fast Clustering Using Adaptive Density Peak Detection). The work is built and improved upon the idea of Rodriguez and Laio (2014)<DOI:10.1126/science.1242072>. ADPclust clusters data by finding density peaks in a density-distance plot generated from local multivariate Gaussian density estimation. It includes an automatic centroids selection and parameter optimization algorithm, which finds the number of clusters and cluster centroids by comparing average silhouettes on a grid of testing clustering results; It also includes a user interactive algorithm that allows the user to manually select cluster centroids from a two dimensional “density-distance plot”. Here is the research article associated with this package: “Wang, Xiao-Feng, and Yifan Xu (2015)<DOI:10.1177/0962280215609948> Fast clustering using adaptive density peak detection.” Statistical methods in medical research”. url: <http://smm.sagepub.com/content/early/2015/10/15/0962280215609948.abstract>.

**Depends** R (>= 3.0.0),

**Imports** dplyr, cluster, fields, knitr

**URL** <https://github.com/ethanyxu/ADPclust>

**BugReports** <https://github.com/ethanyxu/ADPclust/issues>

**VignetteBuilder** knitr

**License** GPL (>= 2)

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**Suggests** rmarkdown, testthat

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**R topics documented:**

adpclus	2
AMISE	5
clus10	6
clus3	6
clus5	6
clus5.1	7
dat_gene	7
defCol	7
FindCentersAutoD	8
FindCentersAutoV	8
FindClustersAuto	9
FindClustersGivenCenters	10
FindClustersManual	11
FindDistm	12
FindFD	12
FindH	13
plot.adpclus	14
ROT	14
summary.adpclus	15
<b>Index</b>	<b>16</b>

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adpclus	<i>Fast Clustering Using Adaptive Density Peak Detection</i>
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**Description**

Clustering of data by finding cluster centers from estimated density peaks. ADPclus is a non-iterative procedure that incorporates multivariate Gaussian density estimation. The number of clusters as well as bandwidths can either be selected by the user or selected automatically through an internal clustering criterion.

**Usage**

```
adpclus(x = NULL, distm = NULL, p = NULL, centroids = "auto",
        h = NULL, htype = "amise", nclus = 2:10, ac = 1, f.cut = c(0.1,
        0.2, 0.3), fdelta = "mnorm", dmethod = "euclidean", draw = FALSE)
```

**Arguments**

x	numeric data frame where rows are observations and columns are variables. One of x and distm must be provided.
distm	distance matrix of class 'dist'. distm is ignored if x is given.
p	number of variables (ncol(x)). This is only needed if neither x nor h is given.

centroids	character string specifying how cluster centroids are selected. Valid options are "user" and "auto".
h	nonnegative number specifying the bandwidth in density estimation. If h is NULL, the algorithm attempts to find h in a neighborhood centered at either the AMISE bandwidth or ROT bandwidth (see htype).
htype	character string specifying the method used to calculate a reference bandwidth for the density estimation. htype is ignored if h is given. Valid options of are "ROT" and "AMISE" (see details).
nclust	integer, or a vector of integers specifying the pool of the number of clusters in automatic variation. The default is 2:10.
ac	integer indicating which automatic cut method is used. This is ignored if centroids = 'user'. The valid options are: <ul style="list-style-type: none"> <li>ac = 1: centroids are chosen to be the data points <math>x</math>'s with the largest delta values such that <math>f(x) \geq a</math>'th percentile of all <math>f(x)</math>. The number of centroids is given by the parameter nclust. The cutting percentile(s) is given by the parameter f.cut.</li> <li>ac = 2: let <math>l</math> denote the straight line connecting <math>(\min(f), \max(\text{delta}))</math> and <math>(\max(f), \min(\text{delta}))</math>. The centroids are selected to be data points above <math>l</math> and farthest away from it. The number of centroids is given by the parameter nclust.</li> </ul>
f.cut	number between (0, 1) or numeric vector of numbers between (0, 1). f.cut is used when centroids = "auto" and ac = 1 to automatically select cluster centroids from the decision plot (see ac). The default is c(0.1, 0.2, 0.3).
fdelta	character string that specifies the method used to estimate local density $f(x)$ at each data point $x$ . The default (recommended) is "mnorm" that uses a multivariate Gaussian density estimation to calculate $f$ . Other options are listed below. Here 'dism' denotes the distance matrix. <ul style="list-style-type: none"> <li>unorm(<math>f \leftarrow 1/(h * \text{sqrt}(2 * \text{pi})) * \text{rowSums}(\exp(-(\text{dism}/h)^2/2))</math>); Univariate Gaussian smoother</li> <li>weighted(<math>f \leftarrow \text{rowSums}(\exp(-(\text{dism}/h)^2))</math>); Univariate weighted smoother</li> <li>count(<math>f \leftarrow \text{rowSums}(\text{dism} &lt; h) - 1</math>); Histogram estimator (used in Rodriguez [2014])</li> </ul>
dmethod	character string that is passed to the 'method' argument in function dist(), which is used to calculate the distance matrix if 'dism' is not given. The default is "euclidean".
draw	boolean. If draw = TRUE the clustering result is plotted after the algorithm finishes. The plot is produced by by plot.adpclus(ans), where 'ans' is the outcome of 'adpclus()'

## Details

Given  $n$  data points  $x$ 's in  $p$  dimensions, adpclus() calculates  $f(x)$  and  $\text{delta}(x)$  for each data point  $x$ , where  $f(x)$  is the local density at  $x$ , and  $\text{delta}(x)$  is the shortest distance between  $x$  and  $y$  for all  $y$  such that  $f(x) \leq f(y)$ . Data points with large  $f$  and large  $\text{delta}$  values are labeled class centroids. In other words, they appear as isolated points in the upper right corner of the  $f$  vs.  $\text{delta}$  plot (the

decision plot). After cluster centroids are determined, other data points are clustered according to their distances to the closes centroids.

A bandwidth (smoothing parameter)  $h$  is used to calculate local density  $f(x)$  in various ways. See parameter 'fdelta' for details. If centroids = 'user', then  $h$  must be explicitly provided. If centroids = 'auto' and  $h$  is not specified, then it is automatically selected from a range of testing values: First a reference bandwidth  $h_0$  is calculated by one of the two methods: Scott's Rule-of-Thumb value (h`type` = "ROT") or Wand's Asymptotic-Mean-Integrated-Squared-Error value (h`type` = "AMISE"), then 10 values equally spread in the range  $[1/3h_0, 3h_0]$  are tested. The value that yields the highest silhouette score is chosen as the final  $h$ .

### Value

An 'adpclus' object that contains the list of the following items.

- clusters: Cluster assignments. A vector of the same length as the number of observations.
- centers: Indices of the clustering centers.
- silhouette: Silhouette score from the final clustering result.
- nclus: Number of clusters.
- h: Final bandwidth.
- f: Final density vector  $f(x)$ .
- delta: Final delta vector  $\delta(x)$ .
- selection.type: 'user' or 'auto'.

### References

- GitHub: <https://github.com/ethanyxu/ADPclus>
- Xiao-Feng Wang, and Yifan Xu, (2015) "Fast Clustering Using Adaptive Density Peak Detection." *Statistical Methods in Medical Research*, doi:10.1177/0962280215609948.
- PubMed: <http://www.ncbi.nlm.nih.gov/pubmed/26475830>

### Examples

```
# Load a data set with 3 clusters
data(clust3)

# Automatically select cluster centroids
ans <- adpclus(clust3, centroids = "auto", draw = FALSE)
summary(ans)
plot(ans)

# Specify distm instead of data
distm <- FindDistm(clust3, normalize = TRUE)
ans.distm <- adpclus(distm = distm, p = 2, centroids = "auto", draw = FALSE)
identical(ans, ans.distm)

# Specify the grid of h and nclus
ans <- adpclus(clust3, centroids = "auto", h = c(0.1, 0.2, 0.3), nclus = 2:6)
```

```

# Specify that bandwidths should be searched around
# Wand's Asymptotic-Mean-Integrated-Squared-Error bandwidth
# Also test 3 to 6 clusters.
ans <- adpclus(clust3, centroids = "auto", htype = "AMISE", nclust = 3:6)

# Set a specific bandwidth value.
ans <- adpclus(clust3, centroids = "auto", h = 5)

# Change method of automatic selection of centers
ans <- adpclus(clust3, centroids = "auto", nclust = 2:6, ac = 2)

# Specify that the single "ROT" bandwidth value by
# using the 'ROT()' function
ans <- adpclus(clust3, centroids = "auto", h = ROT(clust3))

# Centroids selected by user
## Not run:
ans <- adpclus(clust3, centroids = "user", h = ROT(clust3))

## End(Not run)

# A larger data set
data(clust5)
ans <- adpclus(clust5, centroids = "auto", htype = "ROT", nclust = 3:5)
summary(ans)
plot(ans)

```

---

AMISE

*AMISE bandwidth*


---

## Description

Calculate the AMISE bandwidth from either a data frame, or from the number of observations and the dimension of the data.

## Usage

```
AMISE(x, y = NULL)
```

## Arguments

x	the number of variables (if y is given), or a data frame or a matrix (if y is missing).
y	the number of observations. If y is missing then x is interpreted as the data matrix.

## Details

**IMPORTANT NOTE:** The standard deviation of each variable is omitted in this formula.

**Value**

AMISE bandwidth.

---

clust10	<i>1000 5-dimensional data points that form ten clusters</i>
---------	--

---

**Description**

Generated from the genRandomClust() function of the "clusterGeneration" package with separation value 0.2.

**Format**

data frame

---

clust3	<i>90 2-dimensional data points that form three clusters</i>
--------	--

---

**Description**

Randomly generated from three normal distributions.

**Format**

data frame

---

clust5	<i>500 5-dimensional data points that form five clusters</i>
--------	--

---

**Description**

500 5-dim points in 5 clusters. Generated from the genRandomClust() function of the "clusterGeneration" package with separation value 0.1.

**Format**

data frame

---

clust5.1	<i>500 5-dimensional data points that form five clusters</i>
----------	--

---

**Description**

Generated from the genRandomClust() function of the "clusterGeneration" package with separation value 0.01 (tightly clustered).

**Format**

data frame

---

dat_gene	<i>243-dimensional gene expression data of 38 patients (243 genes)</i>
----------	--

---

**Description**

38 by 243 matrix. Each row represents a patient. Each column represents a gene.

**Format**

matrix

---

defCol	<i>Default colors</i>
--------	-----------------------

---

**Description**

Returns 10 default colors

**Usage**

```
defCol()
```

**Value**

vector of colors

---

FindCentersAutoD      *Automatically finds centers with diagonal  $f(x)$  vs  $\delta(x)$  thresholds*

---

### Description

Automatically finds centers with diagonal  $f(x)$  vs  $\delta(x)$  thresholds. This is used in `adpclus()` with `ac = 2`. It finds points that are above and farthest from the diagonal line in the  $f$  vs.  $\delta$  plots, and label them to be centers.

### Usage

```
FindCentersAutoD(f, delta, nclust)
```

### Arguments

`f`                      vector of local distance.  
`delta`                  vector of minimal distances to higher ground.  
`nclust`                number of clusters. Can be a single integer or a vector of integers. Duplicates are silently removed.

### Value

a list of vectors. Each vector gives the locations of centers.

### Author(s)

Ethan Xu

---

FindCentersAutoV      *Automatically find centers with vertical threshold*

---

### Description

Automatically find centers with vertical threshold vertical  $f(x)$  thresholds.

### Usage

```
FindCentersAutoV(f, delta, f.cut = c(0.1, 0.2, 0.3), nclust, rm.dup = TRUE)
```

**Arguments**

<code>f</code>	vector of local distance $f(x)$ . See the detail section of the help(adpclus).
<code>delta</code>	vector of minimal distances to higher ground $\delta(x)$ . See the detail section of the help(adpclus).
<code>f.cut</code>	number between (0, 1) or numeric vector of numbers between (0, 1). Data points whose $f$ values are larger than $f.cut$ with large $\delta$ values are selected as centers. The default is <code>c(0.1, 0.2, 0.3)</code> .
<code>nclus</code>	number of clusters. It can be either a single integer or a vector of integers.
<code>rm.dup</code>	boolean. If TRUE (default) duplicated centers vectors are removed from returned list.

**Details**

Given  $f$ 's and  $\delta$ 's, cluster centers are chosen to be the data points whose  $\delta$  values are high and  $f$  values are larger than a fixed threshold. To be more specific, let  $F$  denote the set of all  $f(x)$ . centers are selected as points with the largest  $m$   $\delta$  values in the set  $x \mid f(x) > a$ 'th percentile of  $F$ . The number of centers  $m$  is given by the parameter `nclus`. The cutting percentile  $a$  is given by the parameter `f.cut`. When at least one of these two parameters are vectors, centers are selected based all combinations of them, and returned in a list.

**Value**

a list of vectors. Each vector contains the indices of selected centers.

**Author(s)**

Ethan Xu

---

FindClustersAuto	<i>Automatically find cluster assignment given <math>f</math> and <math>\delta</math>.</i>
------------------	--

---

**Description**

This is the subroutine that automatically finds cluster assignments from given  $f$  and  $\delta$  by testing various parameter settings and find the one that maximizes the silhouette.

**Usage**

```
FindClustersAuto(dism, f, delta, ac = 1, nclus = 2:10, f.cut = c(0.1,
  0.2, 0.3))
```

**Arguments**

<code>dism</code>	the distance matrix
<code>f</code>	vector of local distance $f(x)$ . See the help of <code>adpclus()</code> for details.
<code>delta</code>	vector of minimal distances to higher ground $\delta(x)$ . See the help of <code>adpclus()</code> for details.
<code>ac</code>	type of auto selection. The valid options are 1 and 2. See the help of <code>adpclus()</code> for details.
<code>nclus</code>	number of clusters to test. Either a single integer or a vector of integers.
<code>f.cut</code>	number between (0, 1) or numeric vector of numbers between (0, 1). Data points whose $f$ values are larger than <code>f.cut</code> with large $\delta$ values are selected as centers. The default is <code>c(0.1, 0.2, 0.3)</code> . See the help of <code>FindCentersAutoV()</code> for more details.

**Value**

list of four elements:

- `clusters`: Cluster assignments. A vector of the same length as the number of observations.
- `centers`: Indices of the clustering centers.
- `silhouette`: Silhouette score from the final clustering result.
- `nclus`: Number of clusters.

**Author(s)**

Ethan Xu

---

FindClustersGivenCenters

*Find cluster assignments given centers and distance matrix*

---

**Description**

Find cluster assignments from given centers and distance matrix. Each point is assigned to the center that has the shortest Euclidean distance.

**Usage**

```
FindClustersGivenCenters(dism, centers)
```

**Arguments**

<code>dism</code>	distance matrix
<code>centers</code>	vector of integers that gives the indices of centers. Duplications will be silently dropped.

**Value**

Cluster assignments. A vector of the same length as the number of observations.

---

FindClustersManual      *User-interactive routine to find clusters*

---

**Description**

Plot the  $f$  vs.  $\delta$  plot, then wait for the user to select centers of clusters by left clicking the points. In general points with both large  $f$  and large  $\delta$  are good candidates of cluster centroids. Selected centers are highlighted. Press ESC to end the selection.

**Usage**

```
FindClustersManual(distm, f, delta)
```

**Arguments**

<code>distm</code>	distance matrix.
<code>f</code>	vector of local densities $f(x)$ . Same length of the number of observations.
<code>delta</code>	vector of distances to the closest high ground $\delta(x)$ . Same length of the number of observations.

**Value**

a list of the following items:

- `clusters` Cluster assignments. A vector of the same length as the number of observations.
- `centers`: Indices of the clustering centers.
- `silhouette`: Silhouette score from the final clustering result.
- `nclust`: Number of clusters.

**Examples**

```
data(clust3)
distm <- FindDistm(clust3, normalize = TRUE)
## Not run:
fd <- FindFD(distm, 2, "mnorm")
ans <- FindClustersManual(distm, fd$f, fd$delta)
names(ans)
ans$centers

## End(Not run)
```

---

**FindDistm***Find the distance matrix from data.*

---

**Description**

A wrapper of the `dist()` method, with the option to rescale the data with standard deviation of each dimension before calculating the distance matrix. NOTE: If `fdelta='mnorm'` is passed to `adpclust()`, then the `dism` is calculated from rescaled data internally, i.e. `dism <- FindDistm(x, normalize = TRUE)`.

**Usage**

```
FindDistm(x, normalize = FALSE, method = "euclidean")
```

**Arguments**

<code>x</code>	data
<code>normalize</code>	boolean. Normalize data before calculating distance?
<code>method</code>	passed to ' <code>dist()</code> '

**Value**

distance matrix of class `dist`.

**Author(s)**

Ethan Xu

---

**FindFD***Find f and delta from distance matrix.*

---

**Description**

Calculate  $f(x)$  and  $\delta(x)$  from `dism` and `h`.

**Usage**

```
FindFD(dism, h, fdelta)
```

**Arguments**

dism	distance matrix of class 'dist'.
h	bandwidth.
fdelta	character string that specifies the method used to estimate local density $f(x)$ at each data point $x$ . The default is "mnorm" that uses a multivariate Gaussian density estimation to calculate $f$ . Other options are listed below. Here 'dism' denotes the distance matrix. <ul style="list-style-type: none"> <li>• <code>unorm(f &lt;- 1/(h * sqrt(2 * pi)) * rowSums(exp(-(dism/h)^2/2)))</code>; Univariate Gaussian smoother</li> <li>• <code>weighted(f &lt;- rowSums(exp(-(dism/h)^2)))</code>; Univariate weighted smoother</li> <li>• <code>count(f &lt;- rowSums(dism &lt; h) - 1)</code>; Histogram estimator (used in Rodriguez [2014])</li> </ul>

**Value**

list of two items:  $f$  and  $\delta$ .

---

FindH	<i>Find bandwidth h.</i>
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---

**Description**

Find bandwidth  $h$  from the number of observations  $n$  and the dimension  $p$ .

**Usage**

```
FindH(p, n, htype)
```

**Arguments**

p	dimension of data. The number of variables.
n	the number of observations.
htype	methods to calculate $h$ . The valid options are (case insensitive) "amise" or "rot".

**Value**

bandwidth  $h$ .

---

plot.adpclus	<i>Visualize the result of adpclus()</i>
--------------	--

---

**Description**

Plot the f vs. delta plot with selected centroids.

**Usage**

```
## S3 method for class 'adpclus'
plot(x, cols = "default", to.plot = c("cluster.sil",
  "fd"), ...)
```

**Arguments**

x	an object of class "adpclus". Result of adpclus().
cols	vector of colors used to distinguish different clusters. Recycled if necessary.
to.plot	string vector that indicate which plot(s) to show. The two options are 'cluster.sil' (nclus vs. silhouette) and 'fd' (f vs. delta).
...	Not used.

**Examples**

```
## Load a data set with 3 clusters
data(clust3)
## Automatically select cluster centroids
ans <- adpclus(clust3, centroids = "auto")
plot(ans)
plot(ans, to.plot = "fd")
plot(ans, to.plot = "cluster.sil")
plot(ans, to.plot = c("cluster.sil", "fd")) #Default
```

---

ROT	<i>Calculate ROT bandwidth</i>
-----	--------------------------------

---

**Description**

Calculate the ROT bandwidth either from a data frame, or from p and n.

**Usage**

```
ROT(x, y = NULL)
```

**Arguments**

- x                    the number of variables (if y is missing), or a data frame or a matrix (if y is not missing).
- y                    the number of observations. If y is missing, x should be the data matrix.

**Details**

IMPORTANT NOTE: The standard deviation of each variable is omitted in this formula.

**Value**

ROT bandwidth.

---

summary.adpclus	<i>Summary of adpclus</i>
-----------------	---------------------------

---

**Description**

Summarizes the result from the adpclus() function.

**Usage**

```
## S3 method for class 'adpclus'  
summary(object, ...)
```

**Arguments**

- object              object of class "adpclus" that is returned from adpclus().
- ...                  other arguments. NOT used.

# Index

## \*Topic **datasets**

clust10, [6](#)

clust3, [6](#)

clust5, [6](#)

clust5.1, [7](#)

dat\_gene, [7](#)

adpclust, [2](#)

AMISE, [5](#)

clust10, [6](#)

clust3, [6](#)

clust5, [6](#)

clust5.1, [7](#)

dat\_gene, [7](#)

defCol, [7](#)

FindCentersAutoD, [8](#)

FindCentersAutoV, [8](#)

FindClustersAuto, [9](#)

FindClustersGivenCenters, [10](#)

FindClustersManual, [11](#)

FindDistm, [12](#)

FindFD, [12](#)

FindH, [13](#)

plot.adpclust, [14](#)

ROT, [14](#)

summary.adpclust, [15](#)